Security Management System User Guide
Oracle Banking Electronic Data Exchange for Corporates
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Security Management System User Guide

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1. Preface

1.1 Intended Audience

This document is intended for the following audience:

- Customers
- Partners

1.2 Documentation Accessibility

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http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs if you are hearing impaired.

1.4 Structure

This manual is organized into the following categories:

Preface gives information on the intended audience. It also describes the overall structure of the User Manual.

The subsequent chapters describes following details:

- Introduction
- Preferences & Database
- Configuration / Installation.

2. Welcome to Security Management System

This user guide provides an overview to the module and takes you through the various steps involved setting up and using the security features that Oracle offers.

This document is intended for Oracle Implementers, SMS Administrator for the Bank, SMS Administrator for the Branch, and an Oracle user.

This section contains the following topics:

2.1 Role

2.2 User

2.3 Functional Activity

2.1 Role

It is likely that users working in the same department at the same level of hierarchy need to have similar user profiles. In such cases, you can define a Role Profile that includes access rights to the functional activities that are common to a group of users. A user can be linked to a Role Profile by which you give the user access rights to all the functional activities in the Role Profile.

The roles defined is effective only after the dual authorization.

Prerequisite

Specify **User Id** and **Password**, and login to **Home screen**.

2.1.1 View Role

The summary screen provides a list of configured roles. You can configure a role using the Create Role.

2.1.1.1 How to reach here:

Security Management > Role > View Role

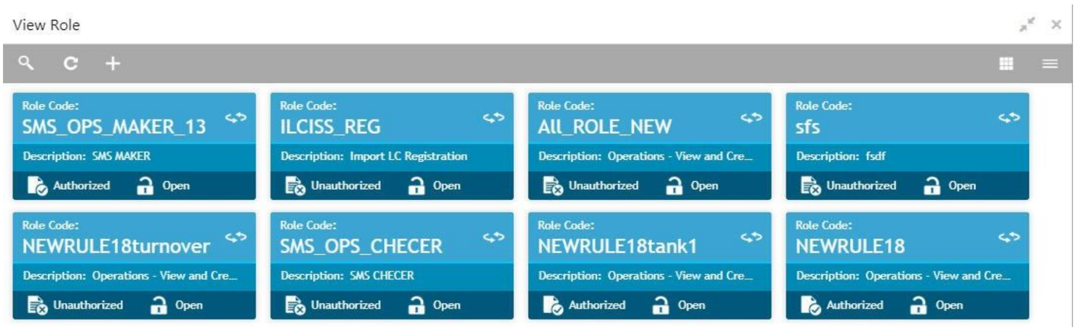


Table: Role Summary - Field Description

Field	Description
Role Code	Displays the code of the role.
Description	Displays additional details about the role.

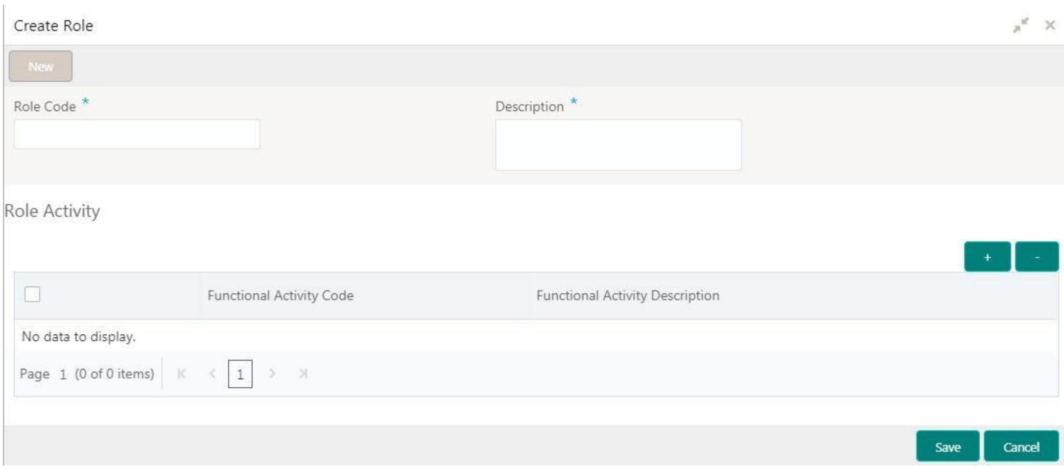
Status	Displays the status of the role.

2.1.2 Create Role

The maintenance screen allows you to create roles and assign their activities.

2.1.2.1 How to reach here:

Security Management > Role > Create Role



2.1.2.2 How to create a role:

1. In the **Create Role** screen, provide the required details:

Table: Create Role - Field Description

Field	Description
Role Code	Specify the code of the role.
Role Description	Specify the additional details about the role.
Status	Specify the status of the role.

2.1.2.3 Role Activity

1. Click + to add a functional activity code and select the required functional activities to which the role profile must have access. For more information on functional activity, see Functional Activity.
2. Click **Save**. You can view the configured roles in the View Role.

2.2 User

Controlled access to the system is a basic parameter that determines the robustness of the security in banking software. Only authorized users can access the system with the help of a unique User Login ID and password. The user profile of a user contains the details of the user in four sections - User details, Status, Other details and User role branches.

2.2.1 View User

The summary screen provides a list of configured users. You can configure a user using the Create User.

2.2.1.1 How to reach here:

Security Management > User > View User

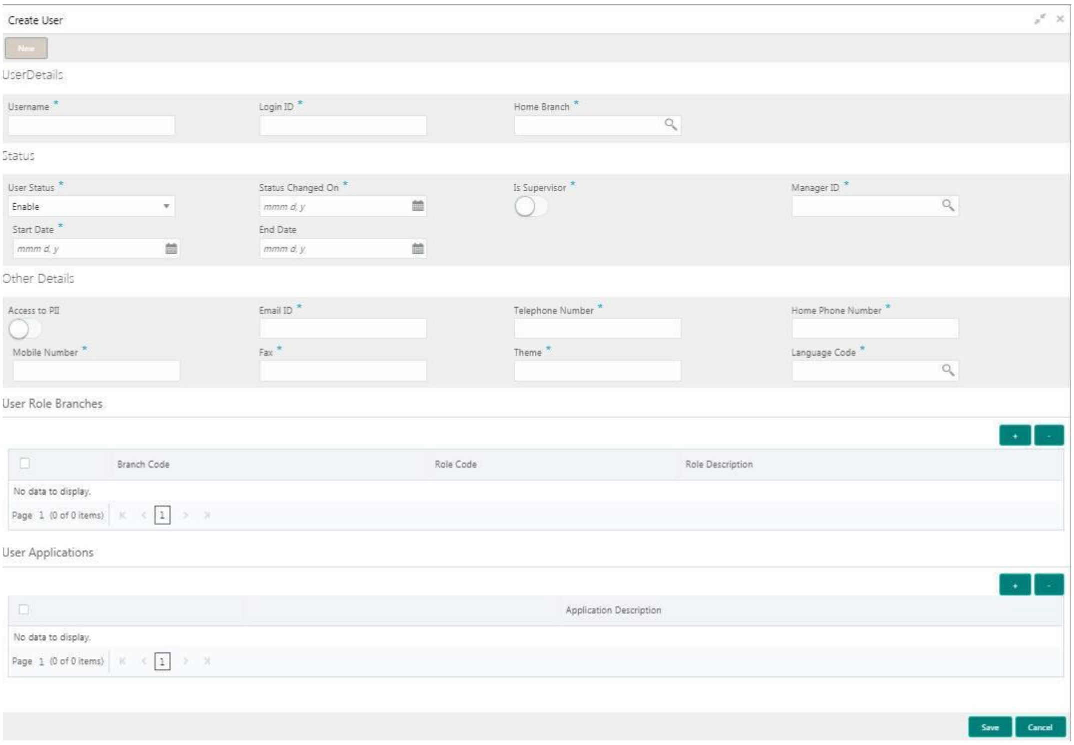
Field	Description
User Login ID	Displays the user login ID details.
User Name	Displays the user who has created the record.
Home Branch	Displays the details of the home branch associated with the user.
Status	Displays the status of the record.

2.2.2 Create User

The maintenance screen allows you to create a user and assign their activities.

2.2.2.1 How to reach here:

Security Management > User > Create User



2.2.2.2 How to create a user:

1. In the **Create User** screen, provide the required details:

Table: Create User - Field Description

Field	Description
User Details	Specify the user details.
User Name	Specify the user name.
Login ID	Specify login ID with which a user logs into the system. This login ID is unique across all branches. The minimum length of login ID must be six and the maximum number can be 12 characters.
Home Branch	Search and select required home branch.
Status	Specify the status.
User Status	Select the user status from the drop-down list.
Status Changed On	Select a status change date from the calendar.
Is Supervisor	By default, this option is disabled. If selected, indicates the user is a supervisor.
Manager ID	Search and select the required manager ID.
Start Date	Select the start date from which the user is valid from the calendar.

End Date	Select the end date for the user from the calendar.
Other Details	Specify the other details.
Access to PII	By default, this option is disabled. If enabled, it provides the user access to personally identifiable information of the entity that they are accessing.
Staff Customer Restriction Required	By default, this option is disabled. If enabled, it provides the staff customer restriction.
Customer ID	Search and select required customer ID.
Email ID	Specify the user Email ID at the time of the creation. All system generated password is communicated to the user through this mail ID.
Telephone Number	Specify the user contact number.
Home Phone Number	Specify the user's home contact number.
Mobile Number	Specify the user's mobile number.
Fax	Specify the fax details of the user.
Theme	Specify the theme details.
Language Code	Search and select the required language code.
User Role Branches	Specify the user role branches details.
Branch Code	Search and select the required branch code.
Role Code	Search and select the required role code.
Role Description	Displays additional information about the role, based on the selected role code.
User Applications	Specify the user application details
Application Name	Search and select the required application
Application Description	Displays additional information about the application based on the selected application.

2. Click + to add a row and provide the required details in the columns.
3. Click **Save**. You can view the configured users in the View User

Note: User modification will not be allowed while the user is logged in. However, the administrator can clear off the user and perform modifications. For more information, refer to section Clear User.

2.3 Functional Activity

SMS manages the user access by associating various functional activities to a role. Based on the business use cases, the granular level activities / operations are defined at Functional activity.

Following are the SMS related functional activities which must be mapped to a Role for Menu, Dashboard, User maintenance and Role maintenance related access:

Functional Activity	Description
SMS_FA_LOAN_DASHBOARD_PREFERENCE	Functional activity for reading User Dashboard preference.
SMS_FA_LOAN_DASHBOARD_PREFERENCE_PUT	Functional activity for updating User Dashboard preference.
SMS_FA_LOAN_DASHBOARD_VIE W	Functional activity for reading User Dashboard tiles.
SMS_FA_MENU_DASHBOARD_VIE W	Functional activity for constructing menu.
SMS_FA_ROLE_AMEND	Functional activity for modifying a role record.
SMS_FA_ROLE_AUTHORIZE	Functional activity for authorizing a role record including Authority query and View changes.
SMS_FA_ROLE_CLOSE	Functional activity for closing a role record.
SMS_FA_ROLE_REOPEN	Functional activity for reopening a role record.
SMS_FA_ROLE_VIEW	Functional activity for viewing a role record including role LOV validation.
SMS_FA_ROLE_DELETE	Functional activity for deleting a role record.
SMS_FA_ROLE_NEW	Functional activity for creating a role record.
SMS_FA_USER_AMEND	Functional activity for modifying a user record.
SMS_FA_USER_AUTHORIZE	Functional activity for authorizing a user record including Authority query and View changes.
SMS_FA_USER_CLOSE	Functional activity for closing a user record.
SMS_FA_USER_DELETE	Functional activity for deleting a user record.
SMS_FA_USER_NEW	Functional activity for creating a user record.
SMS_FA_USER_REOPEN	Functional activity for reopening a user record.
SMS_FA_USER_VIEW	Functional activity for viewing a user record including user LOV validation.

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4. Reference & Feedback

4.1 Reference

For more information on any related features, you can refer to the following documents:

- Oracle Banking Getting Started User Guide
- Oracle Banking Common Core User Guide

4.1.1 Documentation Accessibility

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